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# TRANSMITTAL FORM

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Total Number Of Pages In This Submission

11 + 1  
DISKETTE

Application Number

09/752,533

Filing Date

December 29, 2000

First Named Inventor

Stephen M. COUTTS

Group Art Unit

1853

Examiner Name

To Be Assigned

Attorney Docket No.

252312005704

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## ENCLOSURES (check all that apply)

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|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form + copy - 2 Pgs.                    | <input type="checkbox"/> Assignment Papers<br>(for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                               |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences           |
| <input checked="" type="checkbox"/> Response to Notice to Comply With Requirements - 2 Pgs. | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declarations  | <input type="checkbox"/> Petition to Convert to a Provisional Application                  | <input type="checkbox"/> Status Letter  |
| <input checked="" type="checkbox"/> Extension of Time Request - 1 Pg.                       | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):               |
| <input type="checkbox"/> Express Abandonment Request  | <input type="checkbox"/> Terminal Disclaimer   | Sequence Listing (hard copy) - 3 pages  |
| <input type="checkbox"/> Information Disclosure Statement                                   | <input type="checkbox"/> Request for Refund  | Copy of Notice to Comply with Requirements - 2 pgs.   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                             | <input type="checkbox"/> CD, Number of CD(s) _____   | Computer-readable copy of Sequence Listing - 1 diskette                                       |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application               |  | Return Receipt Pos.   |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53             |  |   |

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm  
or

Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304

Individual Name

Madeline I. Johnston (Registration No. 36,174)

Signature

Date

December 6, 2001

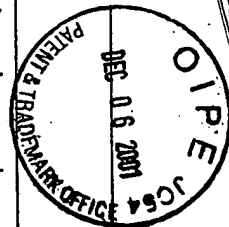
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Michael Boyd

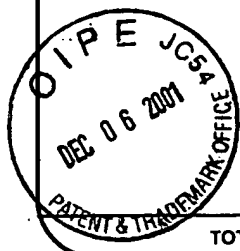


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# FEE TRANSMITTAL FOR FY 2002



Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/752,533
Filing Date	December 29, 2000
First Named Inventor	Stephen M. COUTTS
Examiner Name	To Be Assigned
Group Art Unit	1653
Attorney Docket No.	252312005704

TOTAL AMOUNT OF PAYMENT

(\$ 1,440.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 03-1952  
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- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

### 2. EXTRA CLAIM FEES

	Total Claims	Extra Claims	Fee from below	Fee Paid
	25	-25** = 0	x 18 =	\$ 0
Independent Claims	2	-3** = 0	x 84 =	\$ 0

Multiple Dependent = \$ 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

\*\* or number previously paid, if greater; For reissues, see above.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	1,440
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBT TAL (3) (\$ 1,440

## SUBMITTED BY

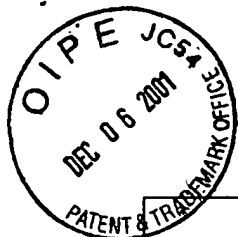
Complete (if applicable)

Name (Print/Type)	Madeline I. Johnston	Registration No. (Attorney/Agent)	36,174	Telephone	(650) 813-5840
Signature				Date	December 6, 2001

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pa-648849



#8

PATENT  
Docket No. 252312005704

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Michael Boyd**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Stephen M. COUTTS, et. al.

Serial No.: 09/752,533

Filing Date: December 29, 2000

For: CONJUGATES OF CHEMICALLY  
DEFINED NON-POLYMERIC  
VALENCY PLATFORM MOLECULES  
AND BIOLOGICALLY ACTIVE  
MOLECULES

Examiner: To Be Assigned

Group Art Unit: 1653

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**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT  
APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO  
ACID SEQUENCE DISCLOSURES**

U.S. Patent and Trademark Office  
Box Sequence Listing  
Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

The printed Sequence Listing is identical to the Sequence Listing submitted in machine-readable form.

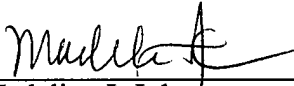
This paper is submitted to comply with the United States Patent Office rules governing gene sequences. No new matter is added.

In the unlikely event that the transmittal letter is separated from this sequence listing and the U.S. Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this sequence listing to our Deposit Account No. 03-1952. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: December 6, 2001

By:

  
\_\_\_\_\_  
Madeline I. Johnston  
Registration No. 36,174

Morrison & Foerster <sup>LLP</sup>  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Telephone: (650) 813-5840  
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JUN 11 2001

MORRISON &amp; FOERSTER



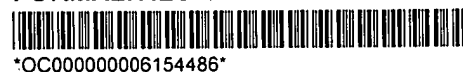
## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752,533	12/29/2000	Stephen M. Coutts	252312005704

CONFIRMATION NO. 1380

## FORMALITIES LETTER



\*OC000000006154486\*

Morrison & Foerster LLP  
255 Page Mill Road  
Palo Alto, CA 94304-1018

Date Mailed: 06/06/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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- To Purchase PatentIn Software, call (703) 306-2600
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